Mobile Applications for Patient-centered Care Coordination: A Review of Human Factors Methods Applied to their Design, Development, and Evaluation

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Introduction

In the United States, 90% of adults use mobile phones, 58% use a smartphone, and over half of the adult population owns a tablet device [1]. This situation is not unique to the US. In Australia, 65% of the population owns a smartphone, and this proportion is rising rapidly: in May 2012, 8.7 million Australians owned a smartphone, in May 2013, this number increased by 29% to reach 11.9 million [2]. Similarly in Europe, the number of smartphone users grew by 30% over 2012-2013 [3].

With the rapid uptake and use of smartphone technology, it is not surprising that there has been a surge in the development of mobile applications (apps) for health. The number of mobile health applications currently available is estimated to be over 100,000 [4]. Many of these apps are designed to be used by patients with chronic diseases (31%), and those interested in health and fitness (28%), or by healthcare providers (14%) [4]. The functions or features of mobile health apps are many and varied, including for example, reminders and prompts to stay on track with medications, medical reference material and resources, the ability to track and display patients’ health parameters, locate near-by doctors and pharmacies, and diaries (for patients to record symptoms, feelings, food, alcohol and medication intake).

Research has shown that consumers are open to using mobile technology for health management and education [5, 6]. For example, a survey administered to teenagers and parents/caregivers at pediatric clinics in the US revealed that most owned a smartphone (84%) and most were interested in using medical apps [5]. Similarly, 35% of outpatient mental health patients older than 60 years owned a smartphone and 71% indicated they were interested in using a mobile application to track their mental health condition [6].

With the explosive increase in the number of mobile applications becoming available to consumers and health professionals, concerns have been raised about the quality and effectiveness of the systems developed [7]. It has been suggested that many apps are being made available to the public in the absence of systematic or rigorous approaches to design, development, or evaluation [8]. As a result, the use, usability and value of many mobile apps may be less than ideal.

Human Factors could significantly contribute to the enhanced design, use and evaluation of mobile apps. Human Factors is the discipline that applies evidence-based methods and knowledge about people to design, evaluate, and improve the interaction between people, systems (including technology), and organizations. Human Factors has received much attention within the field of medical informatics over the last decade, as its importance is increasingly being recognized and its methods applied. For example, The International Journal of Medical Informatics published a special issue on Human factors and the implementation of health information technology in 2013, and inputting the term human factors into The Journal of the American Medical
Human factors are relevant to all stages of technology design, development, and evaluation. Adopting a user-centered approach to technology design is clearly an important element in increasing the likelihood of the fit between people and the technology. Human factors researchers have an armory of data collection (typically user interviews, questionnaires, and in situ and lab-based observations), and analysis methods (e.g. task analysis, process charting, heuristic analysis) [9] to establish the fit between a system (e.g. technology) and its user. These techniques are also useful in the post-implementation evaluation stage when applied to the observation of actual users in naturalistic settings.

Improving care coordination has been identified as one of the greatest potential benefits of information technology in terms of improving quality, safety and efficiency of care [10]. Patient-centered care coordination goes one step further by placing patients at the center to increase their engagement in this care coordination process. The US Agency for Healthcare Research and Quality defines care coordination as “deliberately organizing patient care activities and sharing information among all participants concerned with a patient’s care to achieve safer and more effective care”. Patient-centered care coordination not only involves positioning patient preferences, needs and values at the center of this coordinated process but increasing patient engagement and participation in their care coordination.

Mobile applications are increasingly targeting patient engagement in aspects of their care coordination. However, the extent to which developers of these applications adopt human factors approaches and methods in the design, development, or evaluation stages has been poorly studied. Establishing and ensuring that there is a good fit between the app’s intended users and the technology will result in an app that is usable, accessible and useful. In this review paper, we present an overview of recent research papers (2013-2014) reporting on mobile applications designed to facilitate aspects of patient-centered care coordination. We aimed to examine what human factors methods, if any, were applied to the design, development and evaluation of these mobile applications.

**Method**

We searched MEDLINE and EMBASE for studies describing the design or the evaluation of a patient-centered mobile application. We combined [MeSH term ‘mobile application’ or keyword ‘mhealth’ or keyword ‘mobile app’] with [keyword ‘patient’ or keyword ‘consumer’] and limited our search to papers published in 2013 and 2014. Our search resulted in a total of 538 potentially relevant papers.

To be included in our review, papers had to report on the design, development or evaluation (in practice) of a mobile application for patients, which aimed to facilitate some aspect of care coordination (e.g. patient engagement, communication). We excluded reviews, commentaries, letters and conference abstracts. We excluded papers that simply described the features of an application or compared various applications on features. To ensure that the included applications aimed to improve patient-centered care coordination, we excluded papers that reported on mobile applications that were not for patient use (e.g. apps for doctors with no patient functions), or apps that did not allow patients to view or access their personal information (e.g. apps that monitored a patient’s condition and transmitted this information to providers but not patients). We also excluded papers that reported on apps for delivering treatment or large-scale information systems (e.g. an artificial pancreas), where a smart phone was used as the processing platform, and consumers were not able to use their mobile device for normal functions (e.g. making calls).

Titles and abstracts of the 538 papers were first screened to reject non-relevant papers. This resulted in the exclusion of 405 papers. The remaining 133 papers were read in full to determine if they met our inclusion criteria. Any disagreements or difficult cases were discussed amongst the two authors until a consensus was reached. This resulted in the inclusion of 34 papers for our analysis.

All selected papers were reviewed to identify if a human factors approach and methods had been applied to investigate the fit between the technology and the patient in terms of the design, development, or evaluation of the app.

**Results**

Of the 34 papers that met our inclusion criteria, 15 papers reported on the design or development of a mobile application, and 16 papers reported on the evaluation of an app in practice. Three studies reported on both the design and evaluation of a mobile application.

**Design and Development**

A brief description of the mobile applications, and the methods used in the 15 papers reporting on design or development are displayed in Table 1. All applications (but two [11, 12]) were specific to a disease or condition (e.g. diabetes, asthma).

Twelve of the 15 papers describing the process of design or development of an app adopted a human factors approach. The most frequently used methods were interviews and surveys, which often included an exploration of participants’ current use of information technology, including mobile apps [11, 13-19]. Some studies included multiple components and methods, often generating rich data on patients’ views and use. For example, Ben-Zeev et al. (2013), in their development of an application for people with schizophrenia, adopted a user-centered approach including three complementary methods: 1) a needs assessment based on a survey administered to people with schizophrenia, 2) interviews with practitioners, and 3) usability testing (including think-aloud) with 12 people with schizophrenia [19]. Survey and interview results were used to generate a list of functions to include in the application. Following prototype development, their user testing demonstrated that patients were able to learn how to use the application quickly, but user testing also identified a range of potential design issues (e.g. difficult abbreviations, poor display of touchscreen buttons) which needed to be addressed to improve usability [19].

In another study that adopted a participatory design approach for a diabetes app [16], researchers initially held in-depth semi-structured interviews with their target users (young people aged 18-21 with diabetes) to understand their everyday experience of diabetes and to explore their current use and views...
of technology. Based on interview findings, several requirements were identified and three prototype applications were developed: 1) an app to educate patients on diabetes in general, 2) an app to educate patients on alcohol use in diabetes, and 3) an app to educate patients on hypoglycemia. These prototypes were then shared with another group of young diabetic patients. Patients were observed using each of the prototypes. They also provided feedback on each prototype during the interviews. Based on user input, the app for alcohol education was selected for final development. Feedback from patients and clinic staff was incorporated into subsequent iterations of the mobile application until developers were confident that the product was user friendly and met all user needs [16].

Three studies did not describe a human factors approach to design or development; however, the research did not appear to be at odds with this approach. Instead, the studies reported on a complementary aspect of development. In one case, researchers performed an ex-ante economic evaluation of a mobile application to estimate the reduction in management and treatment costs associated with the implementation of an app to support self-management of heart diseases [20]. In the other cases, researchers measured the accuracy of an app to recognize coughing symptomatic of asthma [21], and assessed the capacity of an app to perform an EEG scan (i.e. compared the brain activity captured by the app to that obtained with standard EEG equipment) [12].

Evaluations in Practice
As shown in Table 2, 16 papers reported on the evaluation of a patient health application in practice. All studies reporting evaluations adopted a human factors approach to some extent. In many papers (n=10), evaluation comprised an examination of the use of application features (e.g. documentation of symptoms). For example, in the evaluation of an app to assist patients in medication management, the frequency and intensity of application use was tracked to explore the use of the app over time [29]. The authors found that after one year, only 1% of users were regularly using the application (i.e. they used the app at least once a week). In the evaluation of an app for children and young adults with type 1 diabetes, click stream data were analyzed to examine user interactions with the app and their communication with peers using the app [30]. The authors reported that most users regularly exchanged comments with peers, notifying their friends of what they ate, their blood glucose level, their mood, and the activities they participated in.

Another common approach for assessing mobile apps was to elicit the views of users, via a survey or interviews. In the evaluation of an application designed to support communication between teenagers with asthma and nurses, both patients and nurses were interviewed following pilot testing. Patients reported that using the application had improved their access to nurses, they were feeling more comfortable communicating with nurses via the app than over the telephone and most patients indicated that the app had improved their relationship with their nurse. Nurses felt that the application had allowed patients to more actively engage in their care, and that it provided patients with an avenue for honest communication [31]. In a randomized controlled trial of an application for young people with mental health problems, both general practitioners (GPs) and patients completed surveys following the trial to explore perceived effectiveness of the app and its impact on doctor-patient rapport [32]. GPs reported that the app assisted them in gaining an accurate picture of their patient’s current condition, in diagnosing and in communicating with patients. However, patients who used the app reported equivalent levels of doctor-patient rapport to patients who did not use the app.

None of the studies included in our review assessed the impact of mobile application use on health outcomes, although one study demonstrated increased knowledge of pap testing following the use of an educational app [33].

Studies Reporting Design and Evaluation
A brief description of the mobile applications and methods used in the three papers reporting on both design/development and evaluation appears in Table 3.

All papers adopted a human factors approach to design/development of the app, and all included a survey to assess user views of the app as part of their evaluation. In one case, patients also reported better treatment adherence and a lower rate of missed doses when using an app designed to support medication management [46]. In another study, the use of an app designed to educate women on long-acting contraceptive methods while they were waiting in a clinic waiting room was associated with increased knowledge and interest in long-acting contraceptive methods [47].

Discussion
Human Factors Approach to Design, Development, and Evaluation
Human factors methods and approaches are increasingly being used to inform the design and assessment of large-scale health information technologies (e.g. decision support systems [49], barcode medication administration systems [50]). In this review, we observed that human factors approaches are also being widely applied to the design, development, and evaluation of patient-centered mobile applications. However, we identified only three studies that reported on both the design and subsequent evaluation of a mobile application, making it difficult to determine whether adopting a human factors approach to design results in applications that are more useful, usable or used (i.e. results in a good fit between user and technology). Previous research has shown that user involvement in system development can lead to greater utilization of the system, and greater satisfaction with the system [51], but we found no attempt to establish this relationship with mobile applications. Research demonstrating the potential benefits of seeking user input early in the development process is clearly needed.

Interestingly, the majority of apps described in the papers included in the review targeted one condition or disease. The Centers for Disease Control and Prevention in the US recently reported that 21% of adults
### Table 1: Papers published in 2013-2014 that reported on the design or development of a patient mobile health application to support an aspect of care coordination

<table>
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<tr>
<th>Study</th>
<th>Mobile application</th>
<th>Methods used to design/develop</th>
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| Ben-Zeev, 2014 [14] | **FOCUS** – supports self-management of illness among people with schizophrenia | 1. Survey of individuals with schizophrenia to explore ownership and use of mobile devices  
2. Survey and focus group with practitioners to identify components to be included in a mobile app  
3. Laboratory-based usability testing (2 rounds) with individuals with schizophrenia (think aloud while using system, and post-trial questionnaire) |
| Buller, 2013 [15] | **Solar Cell** – provides personalized real-time advice to help people manage sun safety | 1. Focus groups with adults to identify useful features of a mobile app  
2. Usability testing with a prototype mobile application (think aloud while completing scenarios using the app and a post-trial survey)  
3. Usability testing repeated with the fully developed mobile application |
| Burney, 2013 [22] | **m.Care** – supports self-management of asthma and allergic rhinitis | 1. Eight users performed 10 standardized tasks using the app and reported any difficulties |
| De La Vega, 2014 [23] | **Painometer** – allows users to assess pain intensity | 1. User testing with healthcare professionals and non-professionals (think aloud while using system, and post-trial interviews)  
2. Survey of healthcare professionals and non-professionals on previous use of technology  
3. Several changes were made to the app and usability testing was repeated |
2. Feasibility study with 10 patients: patients used app for 10 weeks then were interviewed to explore acceptability  
3. Survey of healthy subjects to measure physical activity behavior and possible determinants  
4. Comparison of conclusions and answers to questions provided by patients in survey  
5. Simulation – answers from survey were inputted into app and outputs were compared to other survey answers |
| Klein, 2014 [24] | **m.Mate** – coaches patients with diabetes, HIV or cardiovascular disease to support their adherence to therapy | 1. User testing to evaluate user experience of using C-SCAT to record symptoms  
2. Survey of users to assess acceptability of tool |
| Macpherson, 2014 [25] | **C-SCAT** – allows recording of symptoms (and clusters of symptoms) by adolescents and young adults with cancer | 1. In-depth interviews of type 1 diabetes patients to explore their experiences and usage of mobile technology, their day-to-day life with diabetes, and ideas for app design  
2. Prototype ideas were discussed with patients in later interviews  
3. Questionnaire administered to clinical staff to obtain feedback on the prototype |
| Pulman, 2013 [16] | Provides diabetes education about alcohol for young patients with type 1 diabetes | 1. Meetings with the project management board to identify project goals  
2. Semi-structured interviews with intervention assistants to formulate the problem to be solved  
3. Use of a PDF mockup of the app by board members and intervention assistants to determine general feasibility and acceptance  
4. User testing with intervention assistants and patients. Assistants and patients completed a questionnaire (piloted by intervention assistants and GPs) after a consultation using ICAT  
5. Observation of intervention assistants and patients as they interacted with ICAT (using fictional user accounts and patients)  
6. Focus group with management board to assess whether project goals (standardized content and delivery, and individual monitoring for patients) had been met with the ICAT prototype |
| Radzueit, 2014 [26] | **ICAT** – facilitates collaboration and activation of patients during consultations with assistant nurses (i.e. education and advice, documentation, individual monitoring) | 1. Interviews (critical incident technique) with patients who had post-discharge complications after undergoing surgery to explore challenges in managing post-discharge wound complications  
2. Survey of patients who had post-discharge complications after undergoing surgery to assess acceptability of a paper-based mock-up of an app to assist with wound monitoring |
| Sanger, 2014 [26, 27] | App to improve the identification and management of post-discharge surgical site infections | 1. Telephone survey of patients with sickle cell disease to explore current use of information technology, particularly mobile devices  
2. Survey of patients who trialed the app to evaluate usability, utility and practicality of the app |
| Shah, 2014 [17] | **SMART** – allows patients with sickle cell disease to record and access key disease-related clinical symptoms, including pain | 1. Recorded audio data from a large number of asthma patients to train, and validate, the software to recognize symptomatic sounds |
| Sterling, 2014 [21] | **ADM** – Automated system for asthma monitoring. Continuously monitors coughing in adolescent asthma patients | 1. Focus groups with adolescents and healthcare providers specialized in the management of chronic pain to explore experiences and healthcare needs  
2. A proposed architecture for the app was developed based on focus group findings  
3. In-depth interviews of adolescents to collect feedback on the acceptability and perceived value of the core features of the app |
2. Data extracted from device to evaluate output during finger tapping |
### Table 2  Papers published in 2013-2014 that reported on the evaluation (in practice) of a patient mobile health application to support an aspect of care coordination

<table>
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<tr>
<th>Study</th>
<th>Mobile application</th>
<th>Methods used to evaluate</th>
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| Becker, 2013 [29] | Medication plan—allows users to maintain and alter a drug therapy plan (includes medication reminders) and document vital signs | 1. Tracking the use of the app (frequency and intensity of app use)  
2. Anonymous on-line survey to evaluate the demographic profile of users  
3. Review of feedback emails from users |
| Christensen, 2014 [33] | MyPopp—educates women on many aspects of Pap testing, specifically focusing on common misconceptions about the test | 1. Survey of women aged 18-25 years to assess knowledge about Pap tests pre and post app distribution (Intervention group) or pre and post pamphlet distribution (Control group)  
2. Survey of women aged 18-25 years (in Intervention group) to assess experience with using the app |
| DeVito Dabbis, 2013 [34] | Pocket PATH—promotes the performance of self-care behaviors among transplant patients with chronic illness | 1. RCT to compare the effect of Pocket PATH vs standard care on self-care behaviors (e.g. adherence), and transplant-related health (e.g. readmissions) in patients following a lung transplant (paper presents study protocol, not results)  
2. Questionnaires were administered to patients following the use of app to assess technology acceptance, satisfaction, and ease of use  
3. Data on the use of app was uploaded from each device over the course of the study |
| Forman, 2014 [35] | Heart Coach—supports cardiac rehabilitation | 1. Patients used the app for 30 days, data on use was collected by the app, and a daily survey was delivered to patients via the app to assess their experience of using the app  
2. Survey of cardiac rehabilitation staff who monitored patients via a web-based dashboard during the trial |
| Goldberg, 2014 [36] | PatientTouch—allows ED patients to self-administer a clinical history | 1. Survey of ED patients with 1 of 6 chief complaints who used the device to evaluate user perceptions of the physical features of the device, completeness of the program, ease of use, potential impact, and overall satisfaction |
| Haze, 2013 [37] | SightBookTM—allows diabetic patient self-measurement of vision and facilitates coordination of patient, diabetologist and ophthalmologist to control diabetic retinopathy risk factors, and timing of ophthalmology treatment | 1. Usage data extracted from data logs from the smart-phone application  
2.Surveys of patients (teenagers with asthma) at baseline, bi-monthly and at the completion of the pilot  
3. Semi-structured interviews with patients and RN coordinators following the trial to explore the impact of the app on the patient-RN relationship and any barriers to its use |
| Helander, 2014 [38] | The Eatery—supports healthy eating | 1. Anonymous usage data obtained from app developer to examine use of features |
2. Focus group with users to evaluate app use, perceived value, and impact on health management |
| Layton, 2014 [40] | Wellframe—assists patients with coronary heart disease or congestive heart failure to transition to outpatient care after discharge from hospital | 1. Usage data extracted from data logs from the smart-phone application  
2. Patients were phoned on a weekly basis to confirm appointments, determine if they had been hospitalized, and ask about app usefulness |
| Lin, 2014 [41] | Dental Calendar—allows users to make appointments and record symptoms. App also sends reminders and allows dentists to send messages to patients | 1. Survey of dentists and patients before and after use of app to explore user experience of the app |
| Min, 2014 [42] | Pit-a-Pat—Allows the recording of sleep disturbances, anxiety severity, and mood for breast cancer patients receiving chemotherapy | 1. Evaluation of patient-level reporting (i.e. the days self-reporting was completed) during a 90 day trial of the app  
2. At the end of the trial, participants were asked (via the app) to report reasons for missing self-reporting |
| Padman, 2013 [30] | Supports children and young adults with Type 1 diabetes self-manage their condition | 1. Usage data extracted from app, specifically health metric data (e.g. activity and glucose), comments data (i.e. comments exchanged between users) and click stream data (i.e. features used)  
2. Survey of GP's to assess the extent to which the tool assisted them, and of patients to understand the patient's current functioning |
| Reid, 2013 [32] | Mobiletype—tracks young people’s experiences with mental problems to help doctors in determining appropriate treatment approaches and management plans | 3. Survey of patients pre and post trial to evaluate doctor-patient rapport  
4. Exit interview conducted with patients to assess pathways to care (e.g. if they were prescribed medications) |
| Tataro, 2013 [43] | The Few Touch Application (FTA)—supports patient self-management of Type 2 diabetes (includes a blood glucose meter) | 1. Usage data extracted from device  
2. Survey of users to determine their change in self-management activity with app, and app usability  
3. Focus group with users to explore usability in more depth |
| Tseng, 2014 [44] | Supports elderly outpatients manage medications (app is one component of a medication management system, including bar coded medications, a pill box, and a website) | 1. Survey of 20 elderly patients who used the system for 2 weeks to assess acceptability |
| Tsui, 2014 [45] | SightBooTM—allows diabetic patient self-measurement of vision and facilitates coordination of patient, diabetologist and ophthalmologist to control diabetic retinopathy risk factors, and timing of ophthalmology treatment | 1. Online questionnaires to assess patient-reported outcomes including depressive symptoms, perceived competence in managing diabetes, and illness perceptions regarding diabetes. Main study outcome was completion of questionnaires at baseline, and use of the tool |
aged 45-64 and 45% of adults aged over 65 have two or more chronic conditions [52]. In Australia, over 50% of people aged >50 years have at least two chronic conditions [53]. These figures bring to mind the question of whether designers of mobile health applications should be developing systems for patients with multiple conditions. Do we expect patients to use different medical applications for each of their conditions? An ideal app for facilitating patient-centered care coordination would be one that allowed patients to manage and track all their conditions (e.g. allowed documentation of symptoms for all conditions, triggered reminders for all medications, not just those for diabetes, for example). An app that is able to provide feedback about the interactions between an individual’s conditions and treatments could provide insights to allow patients to develop effective strategies for managing their health in a more holistic fashion. Such an app could be used to target clinical specialist silos, allowing patients to provide their clinicians with ongoing information about how a multiplicity of variables affect their health, not just information relating to a single condition and treatment. This surely would be a step towards more patient-centered care coordination. We didn’t find any medical application in our review that attempted to tackle the reality of patients with multiple system health problems.

### Mobile Applications to Support Patient-centered Care Coordination

Patient-centered care coordination places consumers in a position where they have control of, and information about, their care to the level desired to deliver quality outcomes. Research investigating effective chronic disease management has shown that multi-component interventions are required to support patient-centered care coordination [54]. These include elements such as organizational changes to the way health provider teams work and interact with patients, strategies that support patient engagement, and access to useful and relevant information for both providers and patients. Potential barriers to patient-centered coordination, particularly care planning during health care consultations, include poor health literacy, level of assertiveness and numeracy skills, along with a patient’s emotional state [55]. Mobile applications are thus considered as potentially very useful tools for supporting patient-centered care coordination by facilitating new ways in which patients and providers can interact, and for providing access to information in a format tailored to patients’ needs. Importantly, the mobility of these interventions is key to allowing patients to engage or participate, and communicate outside formal health care consultations.

A key question is what methods are appropriate for assessing care coordination and measuring any possible changes to coordination following intervention implementation? In this review, we identified the two most common methods for app evaluation to be tracking of application use and patient surveys. Does the use of a mobile app feature equate to increased participation or engagement in one’s condition? Does a patient’s experience of improved communication with providers translate to detectable improvements in care coordination? And does this really matter, or are perceived improvements enough? In this review we did not identify any paper that went beyond app utilization or patient surveys to investigate the impact of mobile application use on health outcomes.

### Limitations of the Study

A major challenge for our review was the inconsistent ways in which terms related to both ‘patient-centered’ and ‘care-coordination’ are used and defined. We thus...
used a range of strategies to identify papers reporting mobile applications that aimed to make some contribution to patients’ active involvement in the coordination of their care, but may not have captured all papers. We applied a fairly generous definition of a ‘human factors approach’, that is, use of methods such as surveys and interviews, were classified as a human factors approach. However the extent to which the researchers/designers had a good knowledge of human factors principles and were able to apply the information gained was often not possible to determine.

Conclusions
Overall, the potential of mobile health applications to assist patients and providers in coordinating care has resulted in a large number of applications being developed to facilitate patient participation and communication. Our review of 2013-2014 papers showed that human factors approaches are nearly always adopted in the design, development, and evaluation of mobile applications. Evaluations of health applications that include an assessment of health outcomes would strengthen the evidence base for mobile application use to improve care coordination.

References


43. Tatara N, Arsan E, Bratteteg T, Hartvigsen G. Usage and perceptions of a mobile self-manage-